

James River Insurance Company and its Subsidiaries

6641 West Broad Street, Suite 300 Richmond, VA 23230

General Mining Application

ENERGY Division

Email to <u>EG@jamesriverins.com</u> or, Fax to 804-420-1054

APPLICANT'S INSTRUCTIONS:

- Answer all questions completely. Please attach extra sheets as required. Incomplete or illegible applications may be discarded.
- 2. THIS APPLICATION MUST BE COMPLETED AND SIGNED BY THE OWNER, PARTNER, OR OFFICER OF THE COMPANY AND WILL FORM A PART OF THE POLICY, IF ONE IS ISSUED.
- 3. Please read the statements at the end of this application carefully. Thank you!

GENERAL MINING APPLICATION (Complete and attach appropriate Supplementals)

| Applicant's Name: |
|--|
| Main Address: |
| |
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| |
| Inspection Contact Name: |
| Inspection Contact Phone Number: |
| SUPPLEMENTAL APPLICATIONS INCLUDED |
| |
| List supplementals above and have them check off the box if attached |
| Insureds |
| 1. Enter the name of the person or organization of the First Named Insured: |
| 2. Please list any other Named Insureds, their operations and their relationship to the first named insured. |
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| 3. | Please list any Additional Insureds requested and the reason the AI status is requested. |
|------------------------|--|
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| 4. | Do you have a formal company safety program in place? Yes No |
| | If Yes, who administers: |
| 5. | Are regular safety meetings held? Yes No |
| | Meeting Frequency: |
| 6. | Are you subject to Dept. of Transportation regulation? Yes No |
| | Insured Information |
| 1. | Year established in business: Attach mining industry work experience of the principals, manager, etc. If other mining companies were owned/operated in the past, provide the names of such companies: |
| 2 | Gross Projected Revenue for all Named Insureds: |
| 3. | Gross Projected Payroll for all Named Insureds: |
| 4. | Worker's Compensation Mod: |
| 5. | Is the applicant a subsidiary of, or owned or controlled by another entity? Yes No If yes, state the name of the other entity and describe relationship: |
| | |
| 6. | Does applicant own or control any entities not presented in this application? |
| | If yes, indicate other entity name, operation, and insurance coverage: (if insurance is provided by us, and unless otherwise instructed in writing, these entities and operations will not be provided coverage and will be excluded.) |
| | |
| 7. | Does applicant lease or loan any equipment to others? |
| | If yes, explain: |
| | |
| | |

| 8. | 3. Is applicant a subcontractor to any other entities (other than as a contract miner to the permit holder)? | | | as a contract | ☐ Yes ☐ No | | |
|---|--|---------------|----------------|---------------|------------|----|--|
| If yes, describe subcontracted work and receipts: | | | | | | | |
| | _ | | | | | | |
| 9. Does applicant: a. Own or control any dwellings or stores? | | | | No | | | |
| <u> </u> | | | | | ☐ Yes ☐ | No | |
| c. Provide transportation for employees or subcontractors? | | | | | No | | |
| | | Insura | nce Coverage I | History | | | |
| Pas | st Insurance History (1. Is exp | piring year.) | | | | | |
| Ye | ar | 1 | 2 | 3 | 4 | 5 | |
| Ca | rrier | | | | | | |
| Limits: | | | | | | | |
| - (| General Aggregate | | | | | | |
| - F | Products/Completed Ops | | | | | | |
| | ersonal/Advertising njury | | | | | | |
| - E | Each Occurrence | | | | | | |
| De | ductible | | | | | | |
| Pre | emium | | | | | | |
| W | C Modifier | | | | | | |

| Advise of any | Advise of any unique coverages or exclusions: | | | | |
|--|---|-----------------|---------------------------------------|----------------|----------------|
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| Has any carrie (Explain Yes a | r cancelled or renswers.) | efused to renev | w in the last 5 years? | Yes No No | |
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| | | | Loss History | | |
| All loss informat | ion is to be shown | | ble applied. sch loss runs valued in | the last 3 mor | nthe) |
| | | | | | |
| Policy Period | # Claims | Paid | Open Reserve | Expense | Total Incurred |
| | | | | | |
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| Provide details on any individual losses over \$25,000 | | | | | |
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Applicant's Contractors

| 1. | | ntractors hired by applicant (including, but not limited to: hauling, drilling, security, auger, high wall ning, reclamation, etc.) |
|----|----|---|
| | a. | Check if none or |
| | b. | Complete the following for each contractor expected to be hired by the applicant during the coming year: |

| Name of Contractors | Services Performed | Does applicant have a written contract with contractor? | Does written contract with the contractor contain hold harmless, defense, and indemnity provisions in applicant's favor? | Is applicant an additional insured on the contractor's GL policy? | Does applicant have a Certificate of Insurance from the contractor confirming insurance with adequate limits? |
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| 2. | Contr | ntractors Hired by Others | | | | | |
|---------------------------------------|--|---|--|--|--|--|--|
| | a. | Check here if none | | | | | |
| | b. | List all contractors and the services they perform, for contractors not hired by the applicant but who provide services associated with the applicant's mining operation (example: coal haulers hired by permit holder): | | | | | |
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| accor for th The I applicate | dance lose of nsurer cation of the | against the insured during the policy period unless the extended reporting period option is exercised in with the terms of the policy. If issued on an "OCCURRENCE" basis, the policy provides coverage only currences that take place during the policy period. I will rely upon this application and all such attachments in issuing the policy. If the information in this or any attachment materially changes between the date this application is signed and the effective policy, the Applicant will promptly notify the Insurer, who may modify or withdraw any outstanding ragreement to bind coverage. | | | | | |
| othe infor there pena | r pers matio eto, c | ork: Any person who knowingly and with intent to defraud any insurance company or con files an application for insurance or statement of claim containing any materially false on, or conceals for the purpose of misleading, information concerning any fact material commits a fraudulent insurance act, which is a crime and shall also be subject to a civil ot to exceed five thousand dollars and the stated value of the claim for each such | | | | | |
| false | , inco | er states: It is a crime for any person to knowingly provide or facilitate in providing any mplete, or misleading information to an insurance company. Penalties may include fines, nent and denial of insurance benefits. | | | | | |
| inforr incor autho | mation porate orize th | Y: I warrant to the Insurer, that I understand and accept the notice stated above and that the contained herein is true and that it shall be the basis of the policy of insurance and deemed d therein, should the Insurer evidence its acceptance of this application by issuance of a policy. I he release of claim information from any prior insurer to James River Insurance Company and its 4, 6641 West Broad Street, Richmond, VA 23230. | | | | | |
| App | licant's | S Name: Signature | | | | | |
| Title |): | Date: | | | | | |